

### The Origins of International Investment Law

International investment law is a complex and dynamic field. Yet, the implications of its history are under-explored. Kate Miles examines the historical evolution of international investment law, assessing its origins in the commercial and political expansionism of dominant states during the seventeenth to early twentieth centuries and the continued resonance of those origins within modern foreign investment protection law. In particular, her exploration of the activities of the Dutch East India Company, Grotius' treatises, and pre-World War II international investment disputes provides insight into current controversies surrounding the interplay of public and private interests, the systemic design of investor-state arbitration, the substantive focus of principles, and the treatment of environmental issues within international investment law. In adopting such an approach, this book provides a fresh conceptual framework through which contemporary issues can be examined and creates new understandings of those controversies.

**Kate Miles** is a Fellow and College Lecturer in Law at Gonville and Caius College, Cambridge. She currently serves on the International Law Association's New Study Group on the Role of Soft Law Instruments in International Investment Law. She is also a Research Fellow of the Centre for International Sustainable Development Law, Montreal, and co-ordinates the International Investment Law Network for the Society of International Economic Law.





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# The Origins of International Investment Law

Empire, Environment and the Safeguarding of Capital

Kate Miles





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For Sam





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For a number of years, my studies focused on public international law, while, in private practice, I worked in commercial litigation. During this time, these different spheres did not cross paths in my work. In 2002, however, I was directed towards a field of international law that seemed to grapple with issues of fundamental importance concerning how to reconcile 'the public' and 'the private' within its own substance, structure, and institutions. It was in that year that Professor Philippe Sands QC, University College London, handed me an investor-state arbitral award, *Metalclad Corporation* v. *United States of Mexico*, and suggested I investigate the treatment of environmental issues within international investment law. Exposure to this award was the trigger for the subject of this book, as well as for my now deeply held fascination with international investment law and its interaction with other fields of law and policy. I am indebted to Professor Sands for introducing me to this area of law and for his ongoing support in my academic endeavours.

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### List of abbreviations

Broken Hill Proprietary Co. **BHP BIAC Business and Industry Advisory Committee** Bilateral investment treaty BIT Compañía del Desarrollo de Santa Elena CDSE **CERDS** Charter of Economic Rights and Duties of States Coalition for Environmentally Responsible Economies CERES **CISDL** Centre for International Sustainable Development Law **CITES** Convention on International Trade in Endangered Species of Wild Fauna and Flora **CSR** Corporate social responsibility **European Court of Human Rights ECHR ECI European Court of Justice** European Human Rights Reports **EHRR** Equator Principles Financial Institutions **EPFIs FIELD** Foundation for International Environmental Law and Development **FOE** Friends of the Earth GA **United Nations General Assembly GAOR** United Nations General Assembly Official Records General Agreement on Tariffs and Trade **GATT ICC** International Chamber of Commerce International Court of Justice ICI **International Court of Justice Reports** ICJ Rep.

International Centre for the Settlement of Investment

International Centre for the Settlement of Investment

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Disputes

**Disputes Reports** 

**ICSID** 

ICSID Rep.



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XV1	TICT	$\cap E$	ADDDE	VIATIONS
AVI	ттэт	UГ	W D D V C	VIAIIUNS

IFC	International	Finance (	Corporation

IISD International Institute for Sustainable Development

ILM International Legal Materials
ILR International Law Reports
IMF International Monetary Fund

MAI Draft Multilateral Agreement on Investment
MMT Methylcyclopentadienyl manganese tricarbonyl

MTBE Methyl tertiary butyl ether

NAFTA North American Free Trade Agreement NGOs Non-governmental organisations NIEO New International Economic Order

NIEO Declaration of the Establishment of a New

Declaration International Economic Order

OECD Organisation for Economic Cooperation and

Development

PCBs Polychlorinated biphenyl

RIAA Reports of International Arbitral Awards
SEA Social and Environmental Assessment
SEIC Sakhalin Energy Investment Company

SRII Socially responsible institutional investment

UN United Nations

UNCED United Nations Conference on Environment and

Development

UNCITRAL United Nations Commission on International Trade

Law

UNCLOS United Nations Convention on the Law of the Sea

UNCTAD United Nations Conference on Trade and

Development

UNEP United Nations Environment Programme

UNFCCC United Nations Framework Convention on Climate

Change

UN Norms United Nations Norms on the Responsibilities of

Transnational Corporations and Other Business Enterprises with Regard to Human Rights

UNPRI United Nations Principles for Responsible Investment

UNTS United Nations Treaty Series

VOC Verenigde Oostindische Compagnie; Dutch East India

Company

WTO World Trade Organisation



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