CONTENTS

Table o	f Cases f Legislation	xi xv
	r 1—Environment, Enforcement and Deterrence	1
I	Introduction	1
II	Regulation: Compliance, Enforcement and Penalties	3
	A Regulatory Techniques B Compliance	3 5
	i Compliance with What?ii Desirable Levels of Compliance?	5 5
	C Enforcement	8
	i Defining Enforcement	8
	ii Enforcement, Compliance and Cost-effectiveness Analysis	9
	D Penalties and Sanctions	10
	E Public v Private Enforcement	11
III	Introducing the Deterrence Hypothesis	13
IV	A Structural Overview	15
Chapte	r 2—A Theoretical Framework of Enforcement and Compliance	17
I	Introduction	17
II	Inducing Behavioural Change—The Deterrence Approach	19
	A Introduction	19
	B Becker's Deterrence Framework	20
	i External Inducements v Unlawful Gains	20
	ii Optimal Penalties under the Simple Deterrence Framework	24
	C Optimal Deterrence—The 'Injury to Others' Framework	24
	D Conclusion	26
III	Deterrence-based Theories—A Critical Analysis	27
	A Introduction	27
	B The Deterrence Framework and Low-level Enforcement	28
	C Alternative Compliance Rationales	30
	D Explaining Non-compliance	33
	E Practical Objections	35

		F Principled Objections G Expanding the Simple Deterrence Framework	35 36
	IV	Conclusion	37
	1 V	Conclusion	37
Cł	napter	3—Environmental Enforcement Strategies	39
	I	Introduction	39
	II	Deterrence and Compliance Enforcement Strategies	41
		A An Overview	41
		B The Deterrence v Compliance Debate	43
	III	Tit-for-Tat Responsive Regulation and the Enforcement Pyramid	45
		A Game Theory and the Prisoner's Dilemma Game B Responsive Regulation	45 46
	IV	Criticisms of Responsive Regulation	52
		A Policy/Conceptual Criticisms	52
		B Principled Objections	55
		C Practical Objections	57
	V	Conclusion	57
Cł	napter	4—The Regulatory Landscape	61
	I	Introduction	61
	II	Australia	62
		A Introduction	62
		B The Institutional Framework	63
		i Federal Government	64
		ii State/Territorial Government iii Local Government	65 67
		iv State/Federal Tensions and Inter-Governmental	07
		Co-operation	68
		C The Legislative Framework	70
	III	Canada	71
		A Introduction	71
		B The Institutional Framework	72
		i Federal Government	73
		ii Provincial Government	74
		iii Local Government	76
		iv Inter-governmental Co-operation and Consultation	77
		C The Legislative Framework	78
	IV	England and Wales	79
		A Introduction	79

	B The Institutional Framework	80
	i Central Government	81
	ii Regulatory Agencies—The Environment Agency	82
	iii Local Government	85
	C The Legislative Framework	86
V	Conclusion	88
Chapter	r 5—Environmental Enforcement Strategies in Australia, Canada,	
_	gland and Wales	91
I	Introduction	91
II	Australia	92
	A Introduction	92
	B The Department of Environment, Water, Heritage and the	02
	Arts (DEWHA) C Department of Environment and Climate Change (DECC)	93
	(NSW) and Environmental Protection Authority (EPA)	
	(Victoria)	95
	D Conclusion	100
III	Canada	101
	A Introduction	101
	B Environment Canada	101
	C The Ministry of Environment (Ontario)	105
	D Conclusion	111
IV	England and Wales	112
	A The Enforcement Approach of the Environment Agency	112
	B Conclusion	116
V	Conclusion	116
Chapter	r 6—Criminalising Polluting Behaviour: Models of Liability and	
Deterre	·	119
I	Introduction	119
II	Deterrence, Rules of Liability and Perceptions of Crime	123
	A Introduction	123
	B Strict Liability: Friend or Foe?	124
	C The Real v Regulatory Crime Debate	129
	D Conclusion	130
III	Rules of Liability in Australia, Canada and England and Wales	131
	A Rules of Liability in Australian Pollution Control Regulation	131
	i Mens Rea Offences	132
	ii Strict Liability Offences	133

	iii Absolute Liability Offences iv Tiering Offences	134 134
	B Rules of Liability in Canadian Pollution Control Regulation C Rules of Liability in England and Wales	135 137
IV	Strengthening Deterrence? Corporate and Director Liability	140
	A Introduction	140
	B Corporate and Director Liability in Australia	142
	C Corporate and Director Liability in Canada	145
	D Corporate and Director Liability in England and Wales	148
V	Conclusion	150
Chapter	r 7—Judicial Sanctions and Deterrence	153
I	Introduction	153
II	Sentencing and Discretion	155
	A Introduction	155
	B Penological Principles	156
	C Sentencing Guidelines	158
III	Fines and Deterrence	159
	A Introduction	159
	B Legislative Provisions: An Overview	160
	i Australia	160
	ii Canada iii England and Wales	161 161
	C	
	C The Deterrent Impact of Fines: A Theoretical Perspective D Fines and Deterrence: A Practical Perspective	163 166
	E Improving the Deterrent Impact of Fines: Practical Solutions	100
	and Equity Fines	169
	F Conclusion	172
IV	Restricting the Freedom of Individual Offenders	173
	A Custodial and Suspended Sentences	173
	B Community Penalties and other Non-Custodial Sentencing	
	Alternatives	177
V	'Creative' Sentencing Powers—An Overview	179
	A Introduction	179
	B The Development of Creative Sentencing	180
VI	Creative Sentencing Powers—Theory and Practice	184
	A Introduction	184
	B Monetary Sanctions	185
	i Costs and Compensation Orders	185

		ii Restoration, Remediation and Prevention Ordersiii 'Profit' and 'Forfeiture of Monetary Benefit' Orders	187 188
		iv Payments for Environmental Purposes	190
		C Publicity Sanctions	192
		i Introduction	192
		ii Strengths	193
		iii Weaknesses	195
		iv Designing a Sanction	197
		v Publicity Sanctions in Australia	198
		vi Publicity Sanctions in Canada vii Publicity Sanctions in England and Wales	200 201
		,	201
		D Corporate Community Service	
		i Introduction ii Strengths	202 203
		iii Weaknesses	203
		iv Designing the Sanction	205
		v Environmental Service Orders in Australia	206
		vi The Development of Environment Service Orders in	
		England and Wales	208
		E Rehabilitative Sanctions	209
		F Conclusion	211
	VII	Civil Enforcement	212
	VIII	Conclusion	214
Cŀ	apter	8—Administrative Sanctions and Deterrence	217
	I	Introduction	217
	II	Monetary Administrative Penalties	220
		A Introduction	220
		B Strengths and Weaknesses	221
		C Penalty Infringement Notices in Australia	223
		D Administrative Penalties in Canada	225
		E Monetary Administrative Penalties in England and Wales	229
	III	Non-monetary Administrative Sanctions	234
		A Administrative Notices and Orders	234
		i Introduction	234
		ii Administrative Notices in Australian Pollution Control	
		Regulation	235
		iii Administrative Notices in Canadian Pollution Control	227
		Regulation iv Statutory Notices in England and Wales	237 239
		TV STATUTOLY INDUCES III EHRIAHU AHU VVAICS	239

	B Lie	cence Suspension and Revocation	241
	i	Introduction	241
	ii	Licence Suspension and Revocation in Australian Pollution	
		Control Regulation	242
	iii	Licence Suspension and Revocation in Canadian Pollution	
		Control Regulation	242
	iv	Licence Suspension and Revocation in Pollution Control	
		Regulation in England and Wales	243
	C En	vironmental Audits	243
	D En	forceable Undertakings	244
	i	Introduction	244
	ii	Enforceable Undertakings in Australian Pollution Control	
		Regulation	247
	iii	Enforceable Undertakings in the UK	248
	iv	A Form of Enforceable Undertaking in Canadian	
		Legislation—Environmental Protection Alternative	
		Measures	249
IV	Conc	lusion	252
Chapter	9—C	onclusion	253
Index			259